

UNITED STA IS DEPARTMENT OF COMMERCE Pat nt and Trademark Office

COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

FIRST NAMED INVENTOR ATTORNEY DOCKET NO. FILING DATE APPLICATION NO. D 08/12/98 SAH 09/134,771 860098.425 **EXAMINER** HM12/1213 DAVID J MAKI MAYO, K SEED AND BERRY **ART UNIT** PAPER NUMBER 6300 COLUMBIA CENTER 701 FIFTH AVENUE 1623 SEATTLE WA 98104-7092 DATE MAILED: 12/13/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No. 09/134,771

Applice 4s

Sah et al.

Examiner

Kris Pelham Mayo

Group Art Unit 1633



Responsive to communication(s) filed on	
☐ This action is FINAL .	
☐ Since this application is in condition for allowance except for form in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.E.	
A shortened statutory period for response to this action is set to expis longer, from the mailing date of this communication. Failure to reapplication to become abandoned. (35 U.S.C. § 133). Extensions of 37 CFR 1.136(a).	spond within the period for response will cause the
Disposition of Claims	
X Claim(s) 1-24	is/are pending in the application.
Of the above, claim(s)	is/are withdrawn from consideration.
Claim(s)	is/are allowed.
Claim(s)	is/are rejected.
Claim(s)	
	are subject to restriction or election requirement.
Application Papers	
☐ See the attached Notice of Draftsperson's Patent Drawing Rev	view, PTO-948.
☐ The drawing(s) filed on is/are objected to	o by the Examiner.
☐ The proposed drawing correction, filed on	is 🗆 approved 🗆 disapproved.
☐ The specification is objected to by the Examiner.	
\square The oath or declaration is objected to by the Examiner.	
Priority under 35 U.S.C. § 119	
☐ Acknowledgement is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☐ None of the CERTIFIED copies of the	priority documents have been
received.	
received in Application No. (Series Code/Serial Number)	
\square received in this national stage application from the Inter	
*Certified copies not received:	
Acknowledgement is made of a claim for domestic priority un	der 35 U.S.C. § 119(e).
Attachment(s)	
☐ Notice of References Cited, PTO-892	
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s).	
☐ Interview Summary, PTO-413	
☐ Notice of Draftsperson's Patent Drawing Review, PTO-948	
☐ Notice of Informal Patent Application, PTO-152	
SEE OFFICE ACTION ON THE F	FOLLOWING PAGES

Application/Control Number: 09/134,771 Page 2

Art Unit: 1633

DETAILED ACTION

Election/Restriction

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-15, 23 and 24 drawn to a method for producing a conditionally immortalized human mesencephalon neural precursor cell, a conditionally-immortalized human mesencephalon neural precursor cell and clonal cell line, a method of using a conditionally immortalized human mesencephalon neural precursor cell to produce neurons, and a neuron produced by the method of using a conditionally immortalized human neural precursor cell to produce neurons classified in class 435, subclass 1.1, class 435, subclass 368, class 435, subclass 325, and class 435, subclass 368 for example.
- II. Claims 16 and 17, drawn to a method of transplanting a human mesencephalon precursor cell or neuron, and a method of treating Parkinson's disease with a human mesencephalon precursor cell or neuron, classified in class 424, subclass 93.7, for example.
- III. Claims 18 and 21, drawn to an in vitro assay for an agent that modulates activity of a protein produced by a human mesencephalon cell, and a method for screening for an agent that affects human mesencephalon cell death, classified in class 435, subclass 4, for example.

Application/Control Number: 09/134,771 Page 3

Art Unit: 1633

IV. Claim 19, drawn to an in vitro assay to test for the presence of protein in a sample, such as by antigen-antibody binding, classified in class 435, subclass 7.1, for example.

- V. Claim 20, drawn to a method for identifying a human mesencephalon gene or protein, such as by PCR or hybridization techniques, classified in class 435, subclass 6, for example.
- VI. Claim 22, drawn to a method for screening for a cellular protein that regulates human mesencephalon cell death, classified in class 435, subclass 4, for example.

The inventions are distinct, each from the other because of the following reasons:

Inventions I-VI are drawn to non-equivalent, patentably distinct methods. A method of producing a conditionally immortalized human mesencephalon neural precursor cell, and then using said neural precursor cell to produce neurons would involve different process steps, functions, and technical strategies than a method of transplanting a human mesencephalon precursor cell or neuron into a recipient, and a method of treating Parkinson's disease with said precursor cell or neuron. Additionally, methods of in vitro antigen-antibody binding assay to test for the presence of protein in a sample would involve different technical steps and strategies from methods of in vitro PCR and hybridization assay to identify the presence of a gene or protein. Similarly, methods for screening for agents that modulate and affect human mesencephalon cell death would involve different method steps, reagents and technical strategies than methods of

transplantation, Parkinson's disease treatment, antigen-antibody binding assays, PCR's and hybridization assays. Furthermore, a method of screening for a cellular protein that regulates human mesencephalon cell death would involve different process steps and functions than any of the other claimed methods. Finally, the distinctions are further underscored by their different classification; the different inventions would require divergent searches, as the search for one would not be expected to reveal all the references relevant to the other. The search and examination, therefore, would be unduly burdensome.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

Applicant is advised that the response to this requirement must include an election of the invention to be examined, even though the requirement be traversed. (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a diligently-filed petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(h).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kris Pelham Mayo, whose telephone number is (703)306-5877. The

Art Unit: 1633

examiner can normally be reached on Monday-Thursday, and alternating Fridays from 8:00 a.m. to 5:00 p.m. (Eastern Time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jasemine Chambers, can be reached at (703)308-2035. The FAX telephone number for group 1600 is (703)308-4242.

An inquiry of a general nature or relating to the status of the application should be directed to the group receptionist whose telephone number is (703)308-0196.

Kris Pelham Mayo, D.V.M. Patent Examiner Art Unit 1633 December 9, 1999